Government of Nepal
Ministry of Physical Infrastructure and Transport
Department of Roads
Development Cooperation Implementation Division (DCID)
Jwagal, Lalitpur

Strategic Road Connectivity and Trade Improvement Project (SRCTIP)

Environmental and Social Management Plan for Periodic Road Maintenance

April 2020
Executive Summary

The Department of Roads (DoR) will use this Periodic Road Maintenance (PRM) Environmental and Social Management Plan (ESMP) to manage the environmental and social risks of the periodic road maintenance activities. Specifically, DoR will require contractors undertaking periodic road maintenance works to adapt and implement this generic ESMP, which will be included in the bidding documents and the works contract with contractors. The PRM will be executed during the implementation of the Strategic Road Connectivity and Trade Improvement Project (SRCTIP).

The SRCTIP has four components: 1) **Trade facilitation** that will support physical infrastructure, equipment, traffic flow, inspection, and trade volumes at key border crossing points including Birgunj, Bhairahawa, and Biratnagar. The component is also expected to generate employment opportunities for women in the construction/renovation and laboratories and their capacity development. 2) **Regional Road Connectivity** which will support the improvement of the existing Nagdhunga-Naubise-Mugling road to a 2-lane standard and the upgrading of the Kamala-Dhalkebar-Pathlaiya road from 2-lane to 4-lane including the adoption of engineering measures to improve climate resilience, road safety standard. 3) **Institutional Strengthening** under which periodic road maintenance works will be carried out, besides, providing support to the National Road Safety Council and capacity enhancement of DoR for improved management of the Strategic Road Network (SRN). 4) **Contingency Emergency Response**.

The scope of Periodic Maintenance under DoR’s administration will be limited to 4,200 lane-km (corresponding to 2,050 km of various widths) out of the 13,000 km of the SRN. The criteria for the PRM is that the traffic (AADT) should exceed 5,000.

Out of this 4,200 lane-km, only 3,450 lane-km will undergo periodic maintenance over 5 years through the USD 80M from the WB under the SRCTIP where traffic exceeding 2000 AADT (excluding motorcycles and NMT) throughout the country. And out of 3450 lane-km of periodic maintenance, 820 lane-km will undergo asphalt overlay and 2630 lane-km will undergo surface dressing.

The scheduling of Periodic Maintenance will be as follows: Year-1- 900 lane-km; Year-2 -600 lane-km; Year-3 700 lane-km; Year-4 800 lane-km; and Year-5-450 lane-km. Each of the periodic Maintenance contracts will be about 50 lane-km long. This means no two bitumen heating facilities are likely to be closer than 50 km from each other.

The objective of the Environmental and Social Management Plan will be used by DoR to manage the environmental and social risks of the periodic road maintenance activities. Specifically, DoR will require contractors undertaking periodic road maintenance works to adapt and implement this generic ESMP, which will be included in the bidding documents and the works contract with contractors. This ESMP will be updated in case of emergency and the GoN requests the World Bank to activate the Contingent Emergency Response Component (CERC) of the project. The updates will be done within 3 months from activating the CERC, including adding a positive list of eligible activities/expenditures at the time of activation.

The periodic maintenance works will be limited to the existing Right of Way (RoW) of the selected roads and environmental and social risks are insignificant, site and time specific and reversible in nature. The Periodic Maintenance Activities in this document have been demonstrated to be an effective and practical means of preventing or limiting adverse impacts on
the natural and social environments. For each maintenance activity category, general mitigation measures are provided as guidelines to help ensure works are completed in compliance with environmental and social requirements. When sensitive environmental values are present, the site-specific Environmental and Social Management Plan (ESMP) with mitigation measures will be required. The site-specific mitigation measures shall be developed involving affected stakeholders and with technical inputs from an Environmental and Social Specialist (E&S Specialist)/E&S Consultant. Maintenance contractors may also choose to involve Consultants to advise and assist on the selection and application of mitigation measures, use of alternative practices, and adherence to environmental permitting requirements.

The prerequisites to undertake Periodic Maintenance Activity will be limited to within the existing RoW prescribed by the DoR and as follows: no additional acquisition of land nor voluntary land donation; no relocation of structures and utilities; no access restriction to land, natural resources, and business activities; no additional major structural requirements (such as culverts, bridge, new alignment, etc.)

The Periodic Maintenance requires effective mechanisms, processes, and institutional set up to implement interventions to mitigate the impacts due to PRM activities. The DoR Development Cooperation Implementation Division (DCID) is the primary agency responsible for undertake PRM activities taking further support from DoR Geo-Environmental and Social Unit (GESU). The PRM activities at the site will be executed by the contractors and subcontractors.

The social accountability mechanisms will be established for all sub-projects. The key approaches that would be adopted for ensuring social accountability would be any or a combination of participatory processes guiding social audit, citizen scorecard, and report card to acquire feedback on the performance of the sub-projects and record citizens’ recommendations for improvement. The social accountability mandate will be further strengthened through a strong grievance redress mechanism, two-level Grievance Redresses Committee (GRC) will be formed to address the incoming grievances; one at the project level and next at the Central Level.

The functions of the GRC will include: (i) to provide redress to aggrieved project-affected persons (PAPs) in all respects; (ii) oversee the implementation of mitigation measures where complainants are deemed to receive cash or mitigation measures in place of complaints.

The GRC will only deal/hear the issues related to Road Periodic Maintenance works and individual grievances. The committee will give its decision/verdict within 15 days after hearing the aggrieved PAPs. The final verdict of the GRC will be given by the Chairman/Head of GRC in consultation with other members of the GRC and will be binding to all other members.

If the complaining party is not satisfied with the decision of GRCs, they can appeal to the courts for the final determination of the case. If the court process is initiated, works shall not proceed on the point of impacts until the case is finally determined.
कार्यकारी सारांश

सट्टक निभाइएले आवश्यक सट्टक मम्तत्त गतिविधिक यातायातीय र सामाजिक जीवितकाल प्रवेश गर्न यो आवश्यक सट्टक मम्तत (पि.आर.एम.) को यातायातीय र सामाजिक व्यवस्थापन योजना (ई.एन.एम.पि.) प्रयोगमा लागू नेछ । विशेषत: ठेकेदारहरूले सट्टक मम्तत संभाल गर्दा यस ई.एन.एम.पि. मा भएका प्रवाहीकरण अधिकार गरी काम गर्न पर्ने छ । म्यानमा भएका प्रबुद्धहरूलाई योजनाको साथै ठेका सम्मोहितमा समेत सामग्री गरिने छ । यो पि.आर.एम. राष्ट्रीयतक सट्टक केन्द्रित र तथा व्यापारीक सुधार पूर्वाञ्चल आयोजना (एन.आर.सि.टि.आई.पि.) को कार्यक्रमनिर्देशक सम्राज्य प्रयोगमा लागू भए छ । एन.आर.सि.टि.आई.पि. आयोजनाका चार कम्पोनेंटहरु रहेछन् ।

कम्पोनेंट १- आवश्यक साहित्यकरण: यस कम्पोनेंटले मुख्य नाकाहर जली विराजमा, भैरवा र पिराटनगरमा रहेका राजभाषा सर्पणाहरू, पात्रता उपकरण, त्रासका तथा व्यापारीक आपत्तिको अंगभन्दा तथा पुरूर्वाञ्चल देश र योजनाका रूपमा समेट गरिने गर्न अर्जिनको छ ।

कम्पोनेंट २- प्राथमिक सट्टक संज्ञाल तर्कश्रृंखलाहरू: यस कम्पोनेंटले नागदुखा-नौविला-मुगली हाट खुलाइ २ लेख द्वारा तर्कश्रृंखलालाई परिभ्रमण गरिने छ । प्राथमिक सट्टकहरू-खंडकारियोले भनेर विचार २ लेख सट्टक बाट ४ लेखमा तर्कश्रृंखलालाई समेट गरिने छ । यसले तर्कश्रृंखलालाई सट्टक संधानुसार विभिन्न धारामा पुरूर्वाञ्चल देश र योजनाका रूपमा समेट गरिने गर्दछ ।

कम्पोनेंट ३- सम्बन्धित सुन्दरीकरण: यस कम्पोनेंटले सन्तान आवश्यक सट्टक मम्तत संभाल (पि.आर.एम.) सम्बन्धि कार्यक्रम समाप्त गरिने छ । यस साथै राइटिग सट्टक पुरुष गरिने देखाउनुहोस् र विभिन्न सट्टक निवासको राष्ट्रीयतक सट्टक संज्ञाल (पि.आर.एम.) तथा व्यवस्थापन गर्न अधिकृत चयनित समेत गरिने छ ।

कम्पोनेंट ४- आर्थिक आपत्तिको प्रतिकृती: सट्टक निभाइएले मात्रहरू र तर्कश्रृंखलालाई गर्न आवश्यक सट्टक व्यवस्थापनको कार्यक्रम १३०० करि.मि. राष्ट्रीयतक सट्टक संज्ञाल मध्ये ४२०० लेख कि.मि. (२०५० सट्टक पौराणिक कि.मि.) रहेछ । आवश्यक सट्टक व्यवस्थापन कार्यक्रम लागू बन्द र पुनःरुपर्ने ५०० लेख तथा धारा र पुनःरुपर्ने ५०० लेख र तथा २०५० लेख कि.मि. तर्कश्रृंखलाको आवश्यकता मात्र ५ वर्षका लागि आवश्यक सट्टक व्यवस्थापन कार्यक्रमहरूलाई सम्राज्य प्रयोग गरिने छ । यी कार्यक्रमहरू संचालनार्थ मुक्त भएका २००० भन्दा माथि हुन सक्छ । ३४५० लेख
कि.मि. आधिकारिक सटक्या व्यवस्थापन मध्ये ६२० लेण कि.मि. सटक्या कायमपान (एसपालट) को नाम गरिने छ भने २६२० लेण कि.मि. सटक्या सतह गुणविकरण (सर्पिल झेलिम) गरिने छ ।

आधिकारिक सटक्या व्यवस्थापनको कार्ययोजना देखाय वर्तमानम हुनेछ ।

१. वर्ष १: ९०० लेण कि.मि.
२. वर्ष २: ६०० लेण कि.मि.
३. वर्ष ३: ३०० लेण कि.मि.
४. वर्ष ४: २०० लेण कि.मि.
५. वर्ष ५: १२० लेण कि.मि.

प्रयोग आधिकारिक सटक्या व्यवस्थापन आयोजनाको सम्बन्धमा ५० लेण कि.मि. को हुनेछ । अर्थात् २ अद्वक्ता तताउँ लयनु (चिदाम्रिन झिंटीह फलिलिट) हरू एक आफ्ना वाट ५० कि.मि. को दूरीमा अवस्थित हुनुपर्छ छ ।

आधिकारिक सटक्या व्यवस्थापनको ई.एस.एम.पि. को मुख्य उद्देश्य प्रामाण्य उलेख गरिएको भने वातावरणीय तथा सामाजिक सुधारित पदहलु न्यूनीकरण तथा सुमोडित व्यवस्थापन गर्नुको लागि गरिने छ । यो ई.एस.एम.पि. लाई आङ्ककालिन अस्थायी समेट अध्यक्ष गरिने छ र नेपाल सरकारले आङ्ककालिन अस्थायी विश्व वेबसाइट कटन्येट उद्विज्ञ प्रस्ताव प्रस्तावना कम्युनिट ( उ.ए.आर.पि.) लाई सक्रिय गर्न समेट आौरो गर्न सक्ने छ । उ.ए.आर.पि. लागू भएको ३ महिना भिन यसको अध्यक्ष गर्ने कार्यको धाराली गरिने छ । जसा राजकारण वृक्षालाका साथी योग्य सक्रियता तथा तब सम्बन्धी धाराला सामायिक गरिने छ ।

आधिकारिक सटक्या व्यवस्थापनका नियन्त्रणालाई, छुटीलै गरिएका सटक्यको राईट अफ ये ( आर.डबल्यू. ) भए मात्र सिमित रहेको छ । फलस्वरूप वातावरणीय तथा सामाजिक सुधारित पदहलु नगण्य, समय सापेक्ष र परिवर्तनीय प्रक्रिया हुने छ । यस दलब्दमा सामायिक गरिएका आधिकारिक सटक्या व्यवस्थापनका कार्यहरू वातावरणीय तथा सामाजिक नकारात्मक असरहरूको न्यूनीकरण तथा उल्लेखनीय व्यवस्थापनका लागि प्रभावकारी तथा व्यवहारिक हुने छ । प्रयोग आधिकारिक सटक्या व्यवस्थापनका कियोल्याहरू वातावरणीय तथा सामाजिक आवश्यकता अनुसार पूर्ण छ भनौँ भन्नोलाई सामाजिक सामान्य गरिएको तरिकाहरू निर्देशनका रूपमा उत्तेजक गराइएको छ ।

साइट विशिष्ट शामिल गरिएको तरिकाहरू निर्माण गर्न क्रममा सम्बन्धित समयमा, संग्रहात तथा वातावरणीय सामाजिक विशेषज्ञ, पारम्परिक तथा सामाजिक तत्त्वावलीकरण र राज सुचारू लिए आलबम र इन्टरनेट दुर्देखियो छ । यसी राजनीतिक तत्त्वावलीकरण र समेट परामर्श तथा राज सुचारू लिए साइट विशिष्ट शामिल गरिएको
तरिकाहरू अपनाइने पाल्लावा तथा सामाजिक बेहतरीन वैकल्पिक उपायहरू अपलबित्त गर्नसक्ने छ।
आधिक सदक्क व्यवस्थापनका कियाक्लाइप्हर तथा सामाजिक तथा सामाजिक बेहतरीन वैकल्पिक उपायहरू अपलबित्त गर्नसक्ने छ।
1. आधिक सदक्क व्यवस्थापनका कार्यालयको स्थापना र विभागले तोाँको आरो.ड्यु. भित्र समिल हुनुपर्छ।
2. कुँवासी बिसिनाम्छ जर्गा अधिगृहण तथा पुर्नावास स्मृतिस्मृत जर्गा प्रदान गर्नु परिश्यारम्भ हुन्छ।
3. कुँवासी भीतिक सांस्कृतिक स्थानातर्क स्थापना हुन रहेको छ।
4. मूँ, आधिक शोभा साधनहरू र व्यापारिक कियाक्लाइप्हरको पहिचान प्रतिवेद रूपमा प्रतिवेद रहेको छ।
5. यसरूहु विवरीहरू (म्याली पूल-पूलेसा, नयाँ सदक खुप्पु ईलाम) को आवश्यकता हुन रहेको छ।
आधिक सदक्क व्यवस्थापनका कियाक्लाइप्हरको अन्तर व्यवस्थापकको मेहमान गर्न विभाग संगठन, वेतनको मेहमान विभाग संगठनको आवश्यकता हुन्छ। सदक विभाग अनुसूचित रहेको भू-पादताररू पुर्नावास सामाजिक शाखोको सहयोगमा आधिक सदक्क व्यवस्थापनका कियाक्लाइप्हर तथा सामाजिक शाखाको सहयोगमा आधिक सदक्क व्यवस्थापनका कियाक्लाइप्हर तथा सामाजिक शाखाको सहयोगमा आधिक सदक्क व्यवस्थापनका कियाक्लाइप्हर कार्यान्वयन गर्नु र विभाग अनुसूचित रहेको वैदेशिक समन्यता तथा कार्यान्वयन विभाग हो। टेक्निकल तथा पेट्रो-टेक्निकल साइटमा आधिक सदक्क व्यवस्थापनका कियाक्लाइप्हर कार्यालय गर्नु हुनेछ।
प्रत्येक परियोजनाको लागि सामाजिक उद्देश्यको व्यवस्था गरिएका छ। उक्त संपन्नको गुणितकुशलता लागि सहभागितामूलक विभिन्न प्रकारको जर्गा: सामाजिक अडिट, नागरिक स्कोर काउंटा, रिपोर्ट काउंटा अपनाइएका छ। यसको साथमा साथे परियोजनाको सुधारको लागि प्रक्रियाकार्यक्रमको लिपि विभागका प्रतिनिधिको स्पष्ट रा्य गुणको स्तर विश्वास, रिपोर्टको समेत संकलन गर्ने रकम गरिदिएको छ। सामाजिक उद्देश्यका संस्थाको प्रवृत्तिकर्मको लागि आएको गुनाहारालाई सम्बोधन गर्नु हुनु बंगाली गुणासो विवरण समिलित (म.ए.म.ए.) गट गरिएका छ, एउटा परियोजना स्वरुप र अन्यको समेत स्वरुप।
गुणासो विवरण समिलित जिम्मेदारीको लिम्ब मुखिया छेत्रीमा छ।
1. पिपिट परियोजना प्रभावित व्यक्ति (उप.म.प.) साँगी संघात सुसंगात समाधान प्रदान गरिएका छ।
2. परियोजनाको प्रभावित व्यक्तिहरूका स्थानमा लागि नगर बिभाग भएको परिप्रेक्ष्यको लागि कार्यान्वयनको प्रभावितकार्यको निरिक्षण गर्नु हुने।
गुनाहो निवारण सामिलिते आयोजक सडक व्यवस्थापनसँग सम्बन्धित व्यक्तिगत समस्याहलाई मात्र गुनाहार गर्ने छु र सामिलिते पिटित परियोजना प्रभावित व्यक्तिहरूले गुनाहो प्राप्त भएको १५ दिन भित्र आफ्नो निर्णय सुनाउने छ। जि.आर.सि. को अन्तिम निर्णय जि.आर.सि. को अध्यक्ष प्रस्तुत उक्त सामिलिता अन्य सदस्यहरूले परामर्श गरी सबै सदस्यहलाई मान्य तथा वाध्यकारी हुने गरी सुनाउने छ।

यदि गुनाहो गर्ने व्यक्ति वा समुदाय सामिलको निर्णय संग सार्वजनिक नमूने संग्रह, उनीहरूले मुदाको अन्तिम निर्णयको लागि अदालतमा आफिल गर्नुको छ। यदि अदालतको निर्णया गरिएको छ भने मुदा अन्ति: निर्णयात्मक नमूने संग प्रभावित कार्यहरूले सबै मात्रा कार्यहरू स्थायित गर्नुको छ।
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Introduction

1.1 Background

The Government of Nepal (GoN) has requested the World Bank (WB) to support the preparation and implementation of the Strategic Road Connectivity and Trade Improvement Project (SRCTIP). The project development objective is to improve the efficiency and safety of select transport infrastructure, improve the efficiency of cross-border trade, and strengthen capacity for strategic road network management in Nepal.

Achievement of this objective will be measured through the following key indicators: (a) reduction in travel time, vehicle operating costs and annual fatalities related to road crashes on the roads being improved or upgraded; (b) reduction in the time taken for goods transit at a major border crossing point; (c) reduction in time taken for sanitary and phyto-sanitary clearances in export of selected agricultural commodities; (d) increase in the percentage of Core Highway Network (within the Strategic Road Network (SRN)) in “good” condition.

This project has four components:

Component 1: Trade Facilitation

The objective of this component is three-fold: (i) reduce the time taken for goods transit at selected border crossing points; (ii) improve capacity and efficiency for sanitary and phyto-sanitary (SPS) management at selected locations and for targeted products; and (iii) enhance capacity for managing trade. The support will consist of three sub-components:

(a) Augmentation of physical infrastructure, equipment, inspection and related border transit management systems that are required to absorb increasing traffic and trade volumes at key border crossing points at Birgunj, Bhairahawa and Biratnagar;
(b) Augmentation of equipment and training, and construction and/or renovation of lab buildings at key border locations; and
(c) Knowledge and capacity building for: continuous improvement of the trade policy environment; monitoring of trade performance; and development and implementation, and monitoring and evaluation, of targeted trade promotion measures.

Component 2: Regional Road Connectivity

The objective of this component is to improve efficiency, climate resilience and safety of movement of goods and people across two roads within two transport corridors that are key for Nepal’s connectivity and trade with India and other countries. The support will consist of three sub-components:

(a) Improvement of the existing Nagdhunga-Naubise-Mugling road to 2-lane with 1 m paved shoulders;
(b) Upgrading of the Kamala-Dhalkebar-Pathlaiya road from 2-lane to 4-lane; and, and
(c) A safe corridor demonstration program (SCDP), covering a length of about 250km.

Component 3: Institutional Strengthening

(a) Support for the National Road Safety Council through, inter alia:
I. establishment of an interim secretariat with seed funding for staffing and equipment; and
II. support for prioritized activities from the National Road Safety Action Plan (RSAP),
including coordinating, monitoring and evaluating measures under the SCDP; monitoring
the working of IT-MIS and the equipment service providers; supporting the nation-wide
roll-out of the web-based Road Accident Information Management System (RAIMS),
and supporting training and peer-exchange programs.

(b) Capacity enhancement of DoR for improved management of SRN through:
   i. development and mainstreaming of the road asset management system;
   ii. support for training facilities and training in selected priority areas, including network-
       level safety assessments, quality, procurement, design of advanced structures, and
       management of environmental and social risks and impacts; and
   iii. support for training and employment of local women to find skilled employment and
        livelihood opportunities.

(c) Support for periodic maintenance of the Core Highway Network.

Component 4: Contingency Emergency Response

Following an adverse natural event that causes a major natural disaster, the government may
request the Bank to re-allocate project funds to support response and reconstruction. This
component would draw resources from the unallocated expenditure category and/or allow the
Government of Nepal to request the Bank to re-categorize and reallocate financing from other
project components to partially cover emergency response and recovery costs. This component
could also be used to channel additional funds should they become available as a result of an
emergency.

1.2 Sub-component on Periodic Maintenance under Component 3

The Periodic maintenance covers activities on a section of road at regular and relatively long
intervals, and aims to preserve the structural integrity of the road. These operations tend to be
large scale, requiring specialized equipment and skilled personnel. They cost more than routine
maintenance works and require specific identification and planning for implementation and often
even design. Activities can be classified as preventive, resurfacing, overlay, and pavement
reconstruction. Resealing and overlay works are generally undertaken in response to measured
deterioration in road conditions. For a paved road, repaving is needed about every eight years; for
a gravel, road re-graveling is needed about every three years.

1.3 Scope of Periodic Maintenance

The Strategic Roads under DOR's administration, for periodic maintenance, out of the 13,000 km
of a Strategic Road Network (SRN), 4,200 lane-km (corresponding to 2,050 km of various widths)
has been identified. The criteria for this is that the traffic (AADT) should exceed 5,000.

Out of this 4,200 lane-km, only 3,450 lane-km will undergo periodic maintenance over 5 years
through the USD 80M from the WB under the SRCTIP where traffic exceeding 2000 AADT
(excluding motorcycles and NMT) throughout the country. And out of 3450 lane-km of periodic
maintenance, 820 lane-km will undergo asphalt overlay and 2630 lane-km will undergo surface
dressing.

1Why road maintenance is important and how to get it done, Sally Burningham and Natalya Stankevich
The scheduling of Periodic Maintenance will be as follows:

1. Year-1 900 lane-km;
2. Year-2 600 lane-km;
3. Year-3 700 lane-km;
4. Year-4 800 lane-km;
5. Year-5 450 lane-km.

The Periodic Maintenance for roads with pavement type AMGB (Asphalt Mix over Granular Base) and STAM (Surface Dressing over Asphalt Mix) is asphalt overlay. Similarly, existing STGB (Surface Treatment over Granular Base) will receive DBST (Double Bituminous Surface Treatment) for periodic maintenance.

The Asphalt Overlay helps to rectify cracks and potholes and involves about 40mm layer of hot asphalt on the existing road. Similarly, the DBST means spreading hot bitumen and pebbles, rolling and spreading of hot bitumen and stone chips and rolling again.

Each of the periodic Maintenance contracts will be about 50 lane-km long. This means no two bitumen heating facilities are likely to be closer than 50 km from each other.

As per DOR norms, 10% of the surface receiving periodic maintenance is estimated to be rehabilitated. This means constructing the road from earthwork, laying of sub-base/base to blacktopping.

1.4 Objective of the Environmental and Social Management Plan
This ESMP will be used by DoR to manage the environmental and social risks of the periodic road maintenance activities. Specifically, DoR will require contractors undertaking periodic road maintenance works to adapt and implement this generic ESMP, which will be included in the bidding documents and in the works contract with contractors.

This ESMP will be updated in case of emergency and the GoN requests the World Bank to activate the Contingent Emergency Response Component (CERC) of the project. The updates will be done within 3 months from activating the CERC, including adding a positive list of eligible activities/expenditures at the time of activation.

2. Legal and Policy Framework
Under the legal and policy framework, a brief of legal acts, policies, regulations, and guidelines of the GoN and the World Bank’s Environmental and Social Standards have been discussed that are relevant and applicable to the Periodic Road Maintenance.

2.1 GoN’s relevant Acts, Regulations, and Guidelines
Constitution of Nepal, 2015
The constitution of Nepal, 2015 (2072 BS) is the fundamental law of Nepal. Environmental and Social sustainability is covered explicitly in the Constitution of Nepal, 2015. Article 30 under Right to clean environment provides inter alia that every person has a right to a clean and healthy environment claiming that (1) Every citizen shall have the right to live in a clean and healthy environment; (2) The victim shall have the right to obtain compensation, following the law, for any injury caused from environmental pollution or degradation; and (3) This Article shall not be
deemed to prevent the making of necessary legal provisions for a proper balance between the environment and development, in development works of the nation.

**Local Government Operation Act, 2017**
The Act, 2074 (2017) provides more autonomy to District Coordination Committees, Municipalities, and Rural Municipalities. This act empowers the local bodies for the conservation of soil, forest, and other natural resources and implements environmental conservation activities.

**Nepal Environmental Policy (NEP), 2018 (2076 BS)**
Environment Policy, 2076 aims to manage natural resources efficiently and sustainably, to balance development efforts and environmental conservation to achieve sustainable development. It has also aimed to control pollution, solid waste management and promote greenery so that every citizen's right to live and clean environment will be secured.

**The Environment Protection Act, 2019 (EPA)**
An umbrella act is the principal regulatory frameworks to make the development programs and projects environment-friendly which are now enforced through appropriate regulatory measures. The act also highlights that any development project, before its implementation has to pass through environmental assessment depending upon the location, type and size of the projects.

With respect to the forthcoming needs of Environment Assessment for the SRN sub-projects, this regulatory provision bears the principal provisions for any project proponent involved in this program.

The preparation of Environmental Management Plan (EMP) is determined as a key part of environment assessment. The proponent is required to implement the mitigation measures prescribed in the EMP, while the environmental monitoring works shall be performed by the concerned agency (ministries), and auditing by the Ministry of Forest and Environment in accordance with the provisions of the EPR 1997.

**Soil and Watershed Conservation Act, 1982**
In order to properly manage the watersheds of Nepal, the Soil and Watershed Conservation Act of Section 3 empowers the government to declare any area as a protected watershed area and carry out conservation related activities.

**Forest Policy, 2014**
The forest policy 2014 aims to strengthen the forest resources of Nepal emphasizing management of forest resource sustainably, increase productivity of forest area/sector and increase overall production from forest.

**Forest Act, 2019**
Forest Act considers forests as “resources oriented” rather than “use oriented”. Act empowers the government to provide the parts of the forest areas to implement projects. Section 49 of the Act prohibits reclaiming lands, setting fires, grazing, removing or damaging forest products, felling trees or plants, wild animals hunting and extracting boulders, sand and soil from the National forest without the prior approval.

**Forest Rule, 1995**
The Forest Rules 1995 (amendment, 1999) further elaborate legal measures for the conservation of forests and wildlife. Based on forest legislation, thirteen plant species are included in the level protection list. The Rule also stipulates that the entire expenses for cutting and transporting the forest products in a forest area to be used by the approved project shall be borne by the proponents of the project.

**The Aquatic Animal Protection Act, 1961**
This Act indicates an early recognition of the value of wetlands and aquatic animals, Section 3 renders punishable to any party introducing poisonous, noxious or explosive materials into a water source, or destroying any dam, bridge or water system with the intent of catching or killing aquatic life.

**The National Wetland Policy, 2003**
The National Wetland Policy, 2003 also includes the need for carrying out environment assessment in accordance with the provision of the existing laws for development projects and actions, which are planned for implementation nearby the wetland.2

**National Parks and Wildlife Conservation Act, 1973 and Rules**
The National Parks and Wildlife Conservation Act, 1973 address for conservation of ecologically valuable areas and indigenous wildlife. The Act prohibits any movement of a person without written permission within the parks and the reserves (Article 4). The Act further prohibits wildlife hunting, construction of houses and huts, damage to plants and animals etc., within the reserve, without the written permission of the authorized person (Article 5). The Act has also listed 26 species of mammals, 9 species of birds and 3 species of reptiles as protected wildlife (Sch.1).

**Mountain National Park Regulation, 1979**
This regulation governs the Langtang National Park, Sagarmatha National Park, and Rara National Park. Article 7 of this regulation prohibits carrying out physical activities within Mountain National Parks without a written permission of the warden.

**The Buffer Zone Management Regulation, 1996**
The Buffer Zone Management Regulation, 1996 has mandatory requirement to have permission of Warden to carry out activities within a buffer zone area.

**Public Road Act (2031 BS)**
The Public Road Act is the governing legislation for construction and operation of roads in Nepal. The Act prohibits the construction of permanent structures (buildings) in a defined distance from the rural road, i.e., the road agency has the authority over everything within the right of way.

**Labor Act, 2017 (2074 BS)**
The Act has provisions for the rights, interest, facilities and safety of workers and employees working in various sectors. The Act also requires the employment contract to include (a) remuneration, (b) benefits, and (c) terms of the employments of the Employee and such other matters as prescribed. It also stipulates basic labor camp establishment requirements at construction site.

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2Nepal is also a signatory to the RAMSAR Convention.
**Child-Related Act 1993 (2048 BS) and Child Labour Act 2001 (2056 BS)**
The Child-Related Act 1993 and the Child Labour (abolition and regulation) Act, 2001 are the major acts related to child labor in Nepal. Article 2 (Ka) of these acts refer to “Child” to the children below 16 years of age. The Child Labour (Abolition and Regulation) Act is the most recent and revolutionary decision to overcome the child labor problem in Nepal.

**Relevant Sectoral Policies and Guidelines Prepared by the DoR**

**Environmental Management Guidelines, GESU/DoR, July 1997**
The Guideline, prepared by the Geo-Environment & Social Unit (GESU) of DoR, stipulates the integration of environmental mitigation measures in surveying, design, tender document preparation, contract document preparation, construction, maintenance, rehabilitation and operation of road projects.

This Manual is designed to help integrate social and environmental considerations, including public involvement strategies, with technical road construction practices.

**GoN Policies Supporting Vulnerable Communities**
This policy highlights to provide sufficient considerations to poverty reduction especially for vulnerable groups.

**2.2 World Bank Environmental and Social Framework**
The World Bank's Environmental and Social Framework sets out the World Bank's commitment to sustainable development and mandatory requirement for bank-funded operations such as the SRCTIP. Hence, the projects supported by the World Bank are required to meet the following Environmental and Social Standards:

**Environmental and Social Standard (ESS)1: Assessment and Management of Environmental and Social Risks and Impacts**
The main objective of this standard is to identify, evaluate and manage the environmental and social risks and impacts of the project in a manner consistent with the ESSs and to adopt a mitigation hierarchy approach to avoid, minimize, mitigate and compensate the environmental and social risks.

**Environmental and Social Standard (ESS) 2: Labor and Working Conditions**
The main objective of this standard is to promote safety and health at work, to promote the fair treatment, non-discrimination and equal opportunity of project workers and to protect project workers, including vulnerable workers such as women, persons with disabilities, children (of working age, following this ESS) and migrant workers, contracted workers and primary supply workers, as appropriate and to prevent the use of all forms of forced labor and child labor.

**Environmental and Social Standard (ESS) 3: Resource Efficiency and Pollution Prevention and Management**
The main objective of this standard is to avoid or minimize adverse impacts on human health and the environment by avoiding or minimizing pollution from project activities, to avoid or minimize project-related emissions of short and long-lived climate pollutants, to avoid or minimize generation of hazardous and non-hazardous waste, to minimize and manage the risks and impacts associated with pesticide use.
Environmental and Social Standard (ESS) 4: Community Health and Safety
The main objectives of this standard is to anticipate and avoid adverse impacts on the health and safety of project-affected communities during the project life cycle from both routine and non-routine circumstance, to promote quality and safety, and considerations relating to climate change, in the design and construction of infrastructure, including dams, to avoid or minimize community exposure to project-related traffic and road safety risks, diseases and hazardous materials, to have in place effective measures to address emergency events, to ensure that the safeguarding of personnel and property is carried out in a manner that avoids or minimizes risks to the project-affected communities.

Environmental and Social Standard (ESS) 5: Land Acquisition, Restrictions on Land Use and Involuntary Resettlement
This standard puts in place various processes and systems to avoid/minimize the social and economic impacts related to land acquisition and resettlement. In cases where avoidance of such impacts is not possible, the ESS 5 requires the mitigation of the impact through compensation at replacement cost (which is defined as current market price plus transaction cost) for the losses suffered and improvement of the living conditions of the affected communities in the form of comprehensive compensation packages. The main objective of this standard is to avoid involuntary resettlement or, when unavoidable, minimize involuntary resettlement by exploring project design alternatives, to avoid forced eviction, to mitigate unavoidable adverse social and economic impacts from the land acquisition or restrictions on land use by: (a) providing timely compensation for loss of assets at replacement.

Environmental and Social Standard (ESS) 6: Biodiversity Conservation and Sustainable Management of Living Natural Resources
The main objective of this standard is to protect and conserve biodiversity and habitats, to apply the mitigation hierarchy and the precautionary approach in the design and implementation of projects that could have an impact on biodiversity, to promote the sustainable management of living natural resources, to support livelihoods of local communities including Indigenous Peoples, and inclusive economic development, through the adoption of practices that integrate conservation needs and development priorities.

Environmental and Social Standard (ESS) 7: Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities:
This standard recognizes that Indigenous Peoples, as social groups with identities that are distinct from dominant groups in national societies, are often among the most marginalized and vulnerable segments of the population. The ESS underlines the requirement of avoiding / minimizing adverse impacts on indigenous people in a Project area, respecting the local culture and customs, fostering good relationship and ensuring that development benefits are provided to improve their standard of living and livelihoods. The main objective of this standard is to ensure that the development process fosters full respect for the human rights, dignity, aspirations, identity, culture, and natural resource-based livelihoods of Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities and to avoid adverse impacts of projects on Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities, or when avoidance is not possible, to minimize, mitigate and/or compensate for such impacts.

Environmental and Social Standard (ESS) 8: Cultural Heritage:
The main objective of this standard is to protect cultural heritage from the adverse impacts of project activities and support its preservation, to address cultural heritage as an integral aspect of
sustainable development, to promote meaningful consultation with stakeholders regarding cultural heritage, to promote the equitable sharing of benefits from the use of cultural heritage.

**Environmental and Social Standard (ESS) 9: Financial Intermediaries**: Not Applicable

**Environmental and Social Standard 10: Stakeholder Engagement and Information Disclosure**
The main objective of this standard is to establish a systematic approach to stakeholder engagement that will help Borrowers identify stakeholders and build and maintain a constructive relationship with them, in particular project-affected parties, to assess the level of stakeholder interest and support for the project and to enable stakeholders views to be taken into account in project design and environmental and social performance, to promote and provide means for effective and inclusive engagement with project-affected parties throughout the project life cycle on issues that could potentially affect them and to ensure that appropriate project information on environmental and social risks and impacts is disclosed to stakeholders in a timely, understandable, accessible and appropriate manner and format.

### 2.3 International Legal Provisions for Road and Bridge Projects
Nepal is a signatory to many international conventions, which deal with the protection of the environment and have to some extent also bearing on road project execution.

- The Vienna Convention for Protection of Ozone Layer, 1985
- UN Framework Convention on Climate Change, 1992
- The Agreement on the Network of Aquaculture Centres in Asia and the Pacific, 1988
- The Plant Protection Agreement for South East Asia and the Pacific (as amended), 1956,
- The RAMSAR Convention (Convention on Wetlands of International Importance Especially as Water Fowl Habitat), 1971
- The Convention for Protection of the World Cultural and Natural Heritage, 1972
- The UN Convention to Combat Desertification, 1994
3. Environment & Social Risks and Impacts

Works on the periodic maintenance will be limited to the existing Right of Way (RoW) of the selected roads. The Periodic Maintenance Activities in this document have been demonstrated to be an effective and practical means of preventing or limiting adverse impacts on the natural as well as social environments. For each maintenance activity category, general mitigation measures are provided as guidelines to help ensure works are completed in compliance with environmental and social requirements.

E&S risks and impacts from periodic road maintenance are assessed to be low to moderate given the scale and types of works to be done within the existing RoW and the absence of any land take and physical and economic displacement resulting from the taking of land. Nevertheless, periodic road maintenance works could still pose some environmental and social risks and impacts mainly from the extraction and/or sourcing and transport of construction materials from the source to the project sites. Depending on the source/s, impacts could range from vegetation clearing, erosion and siltation of waterways and impacts on aquatic habitats if materials are sourced from rivers. The temporary closure of roads during maintenance works not only increase traffic but could also lead to increase in road traffic-related accidents and safety of commuters. There will also be dust generation during screening and aggregate and chips spreading process, nuisance related to heating and spreading of bitumen, labor and working conditions for workers doing maintenance works, community health and safety issues and restriction of access to private assets and common resources. When slopes are undergoing periodic road maintenance workers safety may also be put at risk.

The following table (table 1) is a quick reference guide that will determine the activities that are associated with each Periodic Maintenance activities and potential E&S risks.

Table 1: Category of Periodic Maintenance Activities

<table>
<thead>
<tr>
<th>Category</th>
<th>Maintenance Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Surface Maintenance</td>
<td>Highway Pavement Patching and Crack Sealing</td>
</tr>
<tr>
<td></td>
<td>Highway Surface Treatment</td>
</tr>
<tr>
<td></td>
<td>Highway and Shoulder Grading and Re-shaping</td>
</tr>
<tr>
<td></td>
<td>Dust Control and Base Stabilization</td>
</tr>
<tr>
<td></td>
<td>Highway Surface and Shoulder Gravelling</td>
</tr>
<tr>
<td></td>
<td>Road Base Maintenance</td>
</tr>
<tr>
<td></td>
<td>Surface Cleaning</td>
</tr>
<tr>
<td></td>
<td>Debris Removal</td>
</tr>
<tr>
<td></td>
<td>Cattle Guard and Crash Barrier Maintenance</td>
</tr>
<tr>
<td></td>
<td>Raised Hard surfaced Infrastructure and Safety Device Maintenance</td>
</tr>
<tr>
<td>2. Drainage Maintenance</td>
<td>Ditch Maintenance</td>
</tr>
<tr>
<td></td>
<td>Drainage Appliance Maintenance</td>
</tr>
<tr>
<td></td>
<td>Shore, Bank and Watercourse Maintenance</td>
</tr>
<tr>
<td>3. Roadside Maintenance</td>
<td>Vegetation Control</td>
</tr>
<tr>
<td></td>
<td>Brush, Tree and Danger Tree Removal</td>
</tr>
<tr>
<td></td>
<td>Litter collection</td>
</tr>
<tr>
<td></td>
<td>Rest Area Facility Maintenance</td>
</tr>
<tr>
<td></td>
<td>Fence Maintenance</td>
</tr>
<tr>
<td></td>
<td>Roadside Catchment Appurtenances Maintenance</td>
</tr>
</tbody>
</table>
1. **Surface Maintenance** - Surface maintenance activities are undertaken to ensure public safety on the highway by maintaining smooth, clean, compacted, level and sealed surface conditions through the following activities, including Highway Pavement Patching and Crack Sealing, Highway Surface Treatment, Highway and Shoulder Grading and Re-shaping, Dust Control and Base Stabilization, Highway Surface and Shoulder Gravelling, Road Base Maintenance, Surface Cleaning, Debris Removal, Cattle Guard Maintenance, Raised Hard Surfaced Infrastructure and Safety Device Maintenance, etc. The E&S impacts relating to surface maintenance activities are summarized in the following table.

**Table 2: Periodic Maintenance Activities with E&S Impacts for Surface Maintenance**

<table>
<thead>
<tr>
<th>Potential Environmental and Social Impacts</th>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
</table>
| Introduction of deleterious substances to a watercourse | • patching or sealing of paved surfaces using chemical compounds/treatments;  
• side casting or disposal of debris and other materials from surface cleaning;  
• repairs, grading, or shoulder maintenance disturbing existing surfaces (gravel) or introducing new materials;  
• release of other deleterious substances (dust emission materials etc.) |
Contamination of surface waters, groundwater, riparian habitats

• over-spraying of road shoulders and dust emission;
• side casting or disposal of debris and other materials from surface cleaning

Air quality deterioration

• Cleaning of aggregate from paved surfaces

Contributing to destabilization of the soil surface

• repairs, grading, or shoulder maintenance disturbing existing surfaces
• introducing new materials (gravel) without confirming it is free of invasive plants

Community Health and Safety

• Blowing of dust by compressor before spreading hot bitumen on the road surface.
• Heating of bitumen.
• Increased traffic.

Labor and Working Conditions

• Road works.
• Establishment of a labor camp

Temporary land use/lease

• The temporary storage of spoils, waste materials, material storage, construction/maintenance areas

Temporary disruption of access to business activities, private and public assets and resources

• Slow-down in traffic, road traffic diversions, etc.

2. Drainage Maintenance

Drainage maintenance activities are undertaken to provide unobstructed drainage and to prevent erosion damage to Highways. Drainage maintenance activities include: Ditch Maintenance, Drainage Appliance Maintenance and Shore, Bank and Watercourse Maintenance. The E&S impacts relating to drainage maintenance activities are summarized in the following table.

Table 3: Periodic Maintenance Activities with E&S Impacts for Drainage Maintenance

<table>
<thead>
<tr>
<th>Potential Environmental and Social Impacts</th>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
</table>
| Introduction of deleterious substances to a watercourse | • Removal of obstructions, debris or channel maintenance;  
• Installation, repairs or replacement of drainage appliances. |
| Damage to roadside watercourses, riparian/fish habitats | • Bank erosion installation or repair with materials that aren’t clean (silt-laden) or causing bank disturbance;  
• Improper storage or disposal of materials associated with bank erosion repair/drainage appliances;  
• Side casting or improper disposal of debris, sediment, and |
3. **Roadside Maintenance**

Roadside maintenance activities are undertaken to improve visibility and facilitate drainage, maintain clean and tidy Highways, ensure Rest Area facilities are clean, restore the functionality of fences and provide safe passage of pedestrians and animals over/under or beside Highways. Roadside Maintenance activities include Vegetation Control, Brush, Tree and Danger Tree Removal, Litter Collection, Rest Area Facility Maintenance, Fence Maintenance, Roadside Catchment Appurtenances Maintenance and Highway Crossing Infrastructure, etc. The E&S impacts relating to roadside maintenance activities are summarized in the following table.

<table>
<thead>
<tr>
<th>Potential Environmen tal and Social Impacts</th>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
</table>
| Introduction of deleterious substances to a watercourse | • Exposing erodible soils and promoting sediment discharge;  
• Fence repairs (cement-based products and wood preservatives);  
• Improper storage or disposal of sewage. |
| Damage to roadside watercourses, riparian/fish habitats | • Damaging or disturbing riparian habitats, exposing erodible soils or causing stream bank erosion;  
• Fence repairs resulting in damage or disturbance to riparian habitats. |
Disturbance of wildlife species (e.g., birds)
- Disturbing birds (especially bats underneath of culverts) and their nests near watercourses.

Contributing to topsoil surface destabilization
- Brushing or mowing resulting in an alteration of existing vegetation.

Noise and Air Pollution
- Noise due to the compressor and road roller and other heavy machinery;
- Dust blowing while cleaning the road surface.

4. **Traffic Maintenance** - Traffic maintenance activities are undertaken to regulate and facilitate the safe and orderly flow of traffic, keep highway users safe and minimize traffic delays. Traffic Maintenance activities include: Sign System Maintenance, Temporary Pavement Markings and Eradication and Traffic Management. The E&S impacts relating to traffic maintenance activities are summarized in the following table.

**Table 5: Periodic Maintenance Activities with E&S Impacts for Traffic Maintenance**

<table>
<thead>
<tr>
<th>Potential Environmental and Social Impacts</th>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
</table>
| Introduction of deleterious substances to a watercourse | - Sign system maintenance resulting in disturbed existing surfaces (gravel) or introducing new materials;  
- Improper storage and disposal of chemical compounds (e.g. paint). |
| Contributing to soil surface de-stabilization | - Sign system maintenance resulting in disturbed areas that aren’t properly re-vegetated. |

5. **Structures Maintenance** - Structures maintenance activities are undertaken to provide safe, stable, free-draining, clean and structurally sound structures and extend the service life of bridges. Structure Maintenance activities include Bridge Deck Maintenance, Structures Cleaning Maintenance, Structures Drainage Maintenance, Bridge Joint Maintenance, Bridge Bearing Maintenance, Bailey and Bridge Maintenance, Structure Minor Coating, Concrete Structure Maintenance, Steel, Aluminum, and Multiple Structure Maintenance, Bridge Piling Maintenance, Retaining Wall Maintenance, Bridge Railing Maintenance, etc. The E&S impacts relating to structural maintenance activities are summarized in the following table.

**Table 6: Periodic Maintenance Activities with E&S Impacts for Structures Maintenance**
### Potential Environmental and Social Impacts

<table>
<thead>
<tr>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Structures cleaning, repairs or painting (e.g., sediments, oils, paint chips, cement-based products, epoxies, paints or sealants);</td>
</tr>
<tr>
<td>• Retaining structure cleaning, debris removal or repairs from retaining structures near watercourses;</td>
</tr>
<tr>
<td>• Multi-plate structure repairs (sediment, cement-based products, epoxies, sealants);</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Structures repairs resulting in changes to a stream channel, banks or vegetation;</td>
</tr>
<tr>
<td>• Extraction of water for cleaning resulting in disruption of flow, habitat damage (including erosion) or death of fish;</td>
</tr>
<tr>
<td>• Side casting or improper disposal of debris resulting from cleaning and debris removal from retaining structures.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Noise from machines and equipment;</td>
</tr>
<tr>
<td>• Poaching by workers.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Structures maintenance resulting in disturbed areas which aren’t properly re-vegetated;</td>
</tr>
<tr>
<td>• Brushing resulting in an alteration of existing vegetation.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
<tbody>
<tr>
<td>• The temporary storage of spoils, waste materials, material storage, construction/maintenance areas</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Slow-down in traffic, road traffic diversions, etc</td>
</tr>
</tbody>
</table>

6. **Network Management** - Network Management activities are undertaken to ensure the safety of Highway Users, monitor and respond to highway conditions, re-establish traffic flow and communicate on time with the public, stakeholders and the Province. Network Management activities include Highway Incident Response, Major Event Response, Highway Inspection, Highway Safety Patrol and Communications. The E&S impacts relating to network management activities are summarized in the following table.

#### Table 7: Periodic Maintenance Activities with E&S Impacts for Network Management

<table>
<thead>
<tr>
<th>Potential Environmental Impacts</th>
<th>Works with Potential to Cause Impacts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction of deleterious substances to a watercourse</td>
<td>• Clean-up and removal of abandoned vehicles or materials;</td>
</tr>
<tr>
<td></td>
<td>• Clean up of cargo/dangerous goods.</td>
</tr>
</tbody>
</table>
7. **Occupational Health and Safety issues related to Asphalt Overlay and Surface Dressing** - Exposure to asphalt fumes can cause headaches, skin rashes, sensitization, fatigue, reduced appetite, throat and eye irritation, cough, and skin cancer, etc. Two main other hazards associated with working with asphalt are fires and explosions and inhalation of the substance's fumes. PPE recommended when handling heated asphalt:

- Loose clothing in good condition with collars closed and cuffs buttoned at the wrist;
- Thermally insulated gloves with gauntlets that extend up the arm and worn loosely so that they can easily be flipped off if covered with hot asphalt;
- Boots with tops at least 6 inches (150 mm) high and laced without openings;
- Pants without cuffs which extend over the tops of the boots;
- Safety shoes at least 6 inches (150 mm) high and laced;
- Long-handled sprayers with flexible hoses should be used when emulsified asphalts are applied by hand for tack coats, or when cut-back asphalts are applied by hand for prime coats.

3.1 **Prerequisite to undertake Periodic Maintenance Activity**

- The activity will be limited within the existing RoW prescribed by the DoR;
- No additional acquisition of land nor voluntary land donation;
- No relocation of structures and utilities;
- No access restriction to land, natural resources, and business activities;
- No additional major structural requirements (such as culverts, bridge, new alignment, etc.)

3.2 **Planning & Implementation Measures, Environmental and Social Code of Conduct & Mechanisms**

Site-specific Environmental and Social Management Plan (ESMP) will be required from contractors. The site-specific mitigation measures shall be developed involving affected stakeholders and with technical inputs from an Environmental and Social Specialist (E&S Specialist)/E&S Consultant. Maintenance contractors may also choose to involve Consultants to advise and assist on the selection and application of mitigation measures, use of alternative practices, and adherence to environmental permitting requirements.

The Periodic Maintenance requires effective mechanism, process, and institutional set up to implement interventions to mitigate the impacts due to its activities. A summary of project planning in tabular form including stages, steps, and responsibility is presented in table 8 below:

<table>
<thead>
<tr>
<th>Table 8: Planning of the Sub-project activities with responsibility</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sub-Project Stages</strong></td>
</tr>
<tr>
<td>Sub-Project Identification</td>
</tr>
<tr>
<td>Sub-Project Screening</td>
</tr>
</tbody>
</table>
Review and Approval | ESMP preparation | DoR-DCID Contractors
| E&S Specialist
| E&S Consultant |

Execution of Periodic
Maintenance | ESMP Implementation | Contractors |

Monitoring and Reporting | ESMP supervision, monitoring and reporting | DoR-DCID’s E&S unit
| DoR-GESU
| DoR-Divisional Road Office (DRO) |

**a. Planning/Screening**

- Identify environmental and social issues that require consideration, based on a desk review, field assessments, and/or advice from an E&S Specialist and Consultants. *Environmental issues may include, but are not necessarily limited to, the following: watercourses, sensitive habitats, invasive plants and species at risk. Social issues may include the potential for disruption of access to homes and business, temporary use of land for material storage and construction-related services, etc.*

- Determine general mitigation measures that apply to the periodic maintenance activity. Do needful consultation with local stakeholders while determining the mitigation measures.

- If there are environmental values that require special consideration such as those identified above, obtain the advice of an E&S Specialist/Consultant, which may include site-specific mitigation measures.

- Determine other relevant steps such as engaging with regulators, applying for permits, and identifying mitigation plans as necessary.

- Site-specific conditions might present additional issues that shall be addressed in planning and undertaking the works.

- Consult with nearby local communities during screening and field assessments to understand if there are specific social issues to be considered in maintenance works.

**b. Timing of Works**

- Maintenance activities are preferably undertaken during periods of dry weather as this allows easier control of deleterious materials and runoff.

- If the schedule requires working in the rain (or other inclement weather), the work area shall be isolated and appropriate erosion and sediment controls shall be installed to prevent the release of sediment-laden water and or other deleterious substances into watercourses and sensitive habitats. Particularly for surface maintenance activities requiring the application of patching and sealing components, tar, asphalt and dust control materials.

- During drainage maintenance, culverts should be surveyed for the presence of nesting birds or roosting bats before work commencing. Culverts where wildlife has been...
determined to be “not present” do not require scheduling/implementation of environmental timing windows, buffers or exclusion practices.

c. Site Management

- Organize the worksite (including access routes, lay down areas and equipment and materials storage) to avoid impacts on the social and natural environments.
- Disclose information about the nature and scope of works, the timing of works, and potential impacts to local communities along the route, and discuss the potential for use of land as temporary material holding sites.
- Where land is required for temporary use, discuss and negotiate compensation for temporary use of land.
- Avoid disturbing sensitive habitats (including watercourses), or obtain the advice of an E&S Specialist)/E&S Consultant if avoidance is not practical.
- Minimize soil exposure and removal of vegetation to prevent the establishment of invasive plants.
- Plan proactively for erosion and sediment control. Before beginning work, anticipate what techniques will be needed and arrange for materials.
- Regularly monitor and maintain sediment controls and remove when no longer needed (e.g. vegetative cover on seeded areas is adequate to control erosion).
- Dispose of excess materials, excavated soils, and removed debris a minimum of 30 meters away from any watercourse and avoid any sensitive habitats. If excavated materials or any other erodible materials are to be left on-site, ensure they are placed in a manner that will prevent the introduction of sediment to any watercourse.
- Re-vegetate (seed) areas of exposed soils to reduce the risk of invasive plant establishment or sediment entering water bodies. Conduct seeding in the pre-monsoon to obtain maximum germination. Conduct Seeding/Bio-engineering following Standard Specifications/Guidelines of Roadside Bio-Engineering works for stabilizing road slopes published by the DoR.
- Depending on the nature of works, undertake basic traffic measures to enhance community safety during works. This may include signage and man-manning specific locations to divert traffic flow.

d. Equipment Use

- Ensure all equipment used on site is well maintained and free of fluid leaks.
- Refuel and lubricate equipment on dry land a minimum of 30 meters away from watercourses. Use drip trays to contain any spillage during equipment maintenance.
- Ensure equipment operators use the right PPEs during works.
e. **Material Handling and Containment**
   - Have a spill response plan in place and spill kits on site.
   - Limit the application of surface treatments to the road surface and avoid overspraying near watercourses and at watercourse crossings.
   - Maintain suitable buffers between materials storage and watercourses and sensitive habitats.
   - Use temporary covers (e.g., tarps and/or straw/other erosion control materials) to keep erodible materials dry and prevent sediment mobilization.
   - Ensure that all hazardous material (chemicals, sealants, patching materials, surface treatments, and dust control materials) storage, use and disposal is following applicable regulations and information contained in their Safety Data Sheets.
   - Mix hazardous materials a minimum of 30 meters away from any watercourse or sensitive habitats.
   - Transfer and load any hazardous and/or deleterious substances at a designated site away from any watercourses or sensitive habitats. Use caution during the loading of trucks and transport to minimize the loss of materials.
   - If potentially deleterious materials (e.g., cement-based products) are used for repair works, ensure raw material and wash water will not be released to any watercourse. Ensure that all deleterious substances are handled with care.
   - Where possible, sweep up loose material or debris. Any material likely to pose a risk of contamination to soil, surface water or groundwater shall be disposed of appropriately off-site.

f. **Handling Hazardous Materials (Bitumen and Asphalt etc.)**
   - An appropriate plan from Contractor compulsory and approved by the Resident Engineer for safe handling and storage of hazardous materials.
   - Emergency Preparedness Plan from Contractor compulsory and approved by Resident Engineer in case of major accidents associated with improper handling or spills of hazardous materials.
   - Firefighting training for laborers mandatory.
   - Used lubricants, oils, and unused materials scarified old road surface materials collected appropriately and recycled (as appropriate and where applicable) or disposed to the site appropriately in a manner not causing any harm or degradation to the environment.
   - Bitumen Heater shall be prescribed.
   - Bitumen drums shall be stored at designated locations.
   - Work time with Bitumen shall be confined to a maximum 4 consecutive hrs./day to prevent respiratory hazards.

g. **Dust Control and Base Stabilization**
   - Dust control materials are best applied to pre-wetted surfaces.
   - Avoid applying dust control materials in the rain or too saturated surfaces.
   - Limit the application of dust control material to the road surface - avoid overspraying and prevent runoff.

h. **Surface Cleaning**
• Consider the potential impacts of side casting collected materials. If collected material is to be disposed of within the highway right of way, designate disposal sites away from watercourses and sensitive habitats.
3.3 Institutional Arrangements of the ESMP for Periodic Maintenance

The following institutions are key players for executing the Periodic Maintenance Works. The roles and responsibilities and their scope are briefly discussed below:

A. Development Cooperation Implementation Division (DCID)

The DCID is one of the key Divisions of the Department of Roads (DoR) which undertake all donor-funded projects except ADB funded projects. Project Coordination Units (PCU) under DCID prepares the detail design reports, Initial Environmental Examination (IEEs), Environmental and Social Impact Assessment (ESIAs), Resettlement Action Plan (RAP), Indigenous Peoples Development Plan (IPDPs) and performs E&S risk management.

DCID has bilateral and multilateral units. These units prepare the document for the bilateral and multilateral funds and loan support respectively. Both units coordinate the donor agency and respective projects. It also evaluates the activities of bilateral and multilateral projects and does the needful action for the proper implementation of those projects. Both the units bridge the function of donor agency, the project, and related consultants. DCID forwards the progress status and reports of the projects to the planning division of DoR.

B. Geo-Environment and Social Unit (GESU)

The GESU's mandate is to study and recommend geotechnical, environmental and social issues of roads and bridge building and compliance monitoring of projects under World Bank assistance in close coordination with its important stakeholder, Ministry of Forest and Environment. It has mandated as well "to conduct the geo-environmental, social assessment and compliance monitoring of roads and bridges for construction under the departments of roads irrespective of donor assistance. At the same time, it is also mandated to develop a land acquisition plan, resettlement action plan, vulnerable community development plan, and gender plan" for DOR projects.

C. Construction Supervision Consultant (CSC)

The CSC can be termed as the one who is completely independent and professional engineer and performs well-detailed engineering services to his client on an agreed sum of money. The prerequisites of a consulting engineer are that they have to be registered in the state or the country resides to perform as a supervision consultant. The consulting engineer must remain unbiased with the recommendation laid by them and should be devoid of any influence.

D. Contractor

The Contractor is responsible to plan, execute, supervise, inspect and direct a construction project from start to finish regardless of the scope of the project. The contractor ensures that the project complies with the ESMP and all the specifications as outlined in the contract documents.

E. Sub-Contractor

Subcontractor/Supplier is an institution, awarded a portion of an existing contract by a principal or general contractor. Subcontractor/Supplier performs work under a contract with a general contractor. Essentially, a Subcontractor/Supplier will perform and abide by all the obligations of the principal or general contractor's contract, including compliance to ESMP and all specifications in the contract documents.
F. E&S Specialist/E&S Consultant

E&S Specialist/E&S Consultant will be consulted and/or hired by the Contractor/Sub-contractor to prepare the site-specific ESMP for the periodic maintenance work.

3.4 Social Accountability and Grievance Redress Mechanism (Project level GRM and Central GRM)

The social accountability mechanisms will be established for all sub-projects. The key approaches that would be adopted for ensuring social accountability would be any or a combination of participatory processes guiding social audit, citizen scorecard, and report card to acquire feedback on the performance of the sub-projects and record citizens’ recommendations for improvement. The social accountability mandate will be further strengthened through a strong grievance redress mechanism.

To address the incoming grievances two-level grievance redresses committee will be formed; one at the project level and next at the Central Level.

All grievances relating to the project including engagement with PAPs when road works will be carried out, social issues, nuisance due to project activities, and so on (but not limited to) will be referred to the Project Level Grievances Redress Mechanism (GRM). The project Level GRM consists of a Project Level Grievance Redress Committee (GRC). The project level GRC will record all the grievances at the project site screen and analyzed the grievances. The project level GRC will initiate hearing process to resolve the incoming grievance at the site level and inform the decision accordingly to the complainers. If the grievance fails to settle at project level GRC then the project Level GRM forward the grievance to central level GRM with a recommendation for further action to Central Level Grievances Redress Committee (GRC). Nonetheless, there is least chance that the situation will occur to forward the grievance to a higher level as road periodic maintenance will be confined within RoW.

The central level GRC will take a decision and inform the complaining party regarding the decision it has made through an appropriate channel. If the complaining party is not satisfied with the decision of GRCs, they can appeal to the courts for the final determination of the case. If the court process is initiated, works shall not proceed on the point of impacts until the case is finally determined.

The functions of the GRC will include: (i) to provide redress to aggrieved project affected persons (PAPs) in all respects; (ii) oversee the implementation of mitigation measures where complainants are deemed to receive cash or mitigation measures in lieu of complaints;

The GRC will only deal/hear the issues related to Road Periodic Maintenance works and individual grievances. The committee will give its decision/verdict within 15 days after hearing the aggrieved PAPs. The final verdict of the GRC will be given by the Chairman/Head of GRC in consultation with other members of the GRC and will be binding to all other members.