World Bank Assisted
AP-Rural Inclusive Growth Project

Society for Elimination of Rural Poverty
Department of Rural Development
Government of Andhra Pradesh

Procurement Plan
for
18 months
(Period: January 2017-June 2018)
PROCUREMENT PLAN FOR: AP Rural inclusive growth project

I. General

1. Project information

   Country : India
   Borrower : Government of AP
   Project Name : AP Rural Inclusive Growth Project

   Project Identification No (ID) : P152210
   Credit No.
   Project Implementing Agency (PIA): Society for Elimination of Rural Poverty, Department of
   Rural Development, Government of Telangana

2. Date of General Procurement Notice: October 22, 2014
3. Period covered by this procurement plan: First 18 months of the project.
II. Goods, Works and Non-Consulting Services

(a) Civil Works

<table>
<thead>
<tr>
<th>Expenditure Category</th>
<th>Procurement Method</th>
<th>Value* (Threshold per contract)</th>
<th>Contracts subjected to Prior Review/Post Review by Bank#</th>
</tr>
</thead>
<tbody>
<tr>
<td>Civil Works</td>
<td>(i) International Competitive Bidding (ICB)</td>
<td>Civil Works estimated to cost more than the equivalent of <strong>US$ 40 million</strong> per contract</td>
<td>All civil works contracts with estimated cost equivalent of <strong>US$ 10 million</strong> and above per contract will be subject to prior review. All other contracts shall be subject to post review provided procurement is in accordance with agreed processes and procedures.</td>
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<td></td>
<td>(ii) National Competitive Bidding (NCB)</td>
<td>Civil Works estimated to cost more than the equivalent of <strong>US$ 100,000</strong> and less than the equivalent of <strong>US$ 40 million</strong> per contract</td>
<td>All civil works contracts with estimated cost equivalent of <strong>US$ 10 million</strong> and above per contract will be subject to prior review. All other contracts shall be subject to post review provided procurement is in accordance with agreed processes and procedures.</td>
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<td>(iii) Shopping (Minimum 3 quotations)</td>
<td>Civil Works estimated to cost equivalent of <strong>US$ 100,000</strong> or less per contract.</td>
<td>All procurement in accordance with para 3.5 of the procurement guideline. All contracts subject to Post review</td>
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<td>(iv) Direct Contracting</td>
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<td>All procurement to be carried out in accordance with para 3.7 of the Guideline and will be subject to prior review as per works threshold.</td>
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<td></td>
<td>(v) Force Account</td>
<td></td>
<td>All procurement under Force Account method to be justified in terms of Para 3.9 of the Procurement Guidelines, and will be subject to prior review as per works threshold.</td>
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<td>(vi) Community participation in procurement #</td>
<td>Civil Works estimated to cost equivalent of <strong>US$ 100,000</strong> or less</td>
<td>Procurement to be carried out in accordance with para 3.19 of Bank guideline. All contracts to be post reviewed.</td>
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</tbody>
</table>

* If a transaction comprises several contracts, lots or slices, the aggregate estimated value of all contracts, lots or slices will determine the applicable threshold amount.

# Community Procurement would be applicable to APRIGP’s activities under innovative pilots or programs funded under SERP only. It would be based on World Bank “Guidance Note For Management Of Procurement Responsibilities In Community-Driven Development Projects dated December 2009 and as included in the Procurement manual.
(b) Goods, IT system and Non-Consulting Services

<table>
<thead>
<tr>
<th>Expenditure Category</th>
<th>Methods</th>
<th>Value Threshold</th>
<th>Prior or Post Review Arrangements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goods, IT system and Non-Consulting Services</td>
<td>(i) International Competitive Bidding (ICB)</td>
<td>(i) Contracts estimated to cost more than the equivalent of US$ 3 million per contract.</td>
<td>First NCB contract for goods regardless of value and all other Goods, IT and non consulting services contracts with estimated cost equivalent of US$ 2 million and above will be subject to Bank’s prior review. All other contracts are subject to post review. Direct contracting to also be justified in terms of para 3.7 of Bank guideline and will be subject to prior review as per works threshold.</td>
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<td>(ii) Limited international Bidding</td>
<td>(ii) Contracts estimated to cost more than the equivalent of US$ 100,000 per contract and justified in accordance with para 3.2 of the guideline.</td>
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<td>(iii) National Competitive Bidding (NCB)</td>
<td>(ii) Contracts estimated to cost more than the equivalent of US$ 100,000 but less than the equivalent of US$ 3 million</td>
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<td>(iv) Shopping# (Minimum 3 quotations)</td>
<td>(iii) Contracts estimated to cost the equivalent of US$ 100,000 or less per contract.</td>
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<td>(v) Direct Contracting</td>
<td>(iv) Proprietary equipment; software; educational publications; and other learning resources estimated to cost equivalent of US$ 10,000 or less per contract.</td>
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<td>(vi) Framework Agreement (FA)@</td>
<td>Subject to inclusion of “FA” as procurement method for specific items in the procurement plan.</td>
<td>As per Para 3.6 of Procurement Guideline. All FAs are subject to prior review by Bank.</td>
</tr>
</tbody>
</table>

* If a transaction comprises several contracts, lots or slices, the aggregate estimated value of all contracts, lots or slices will be considered to determine the applicable threshold amount.

# State Rate Contracts cannot be used at par with Shopping. If state rate contract exists for an item, the same can be considered as one of the 3 quotations to be sought under shopping procedures. However DGS&D rate contracts are acceptable under any procurement under the Shopping procedure

@ Use of DGS&D rate contracts under Framework Agreement (FA) method can be used, provided that:
- FA must be reflected on the procurement plan agreed by the Bank for particular goods.
- Before issuing the purchase order, the borrower carries-out a price analysis on the specific good that is intended to be purchased. If after this due diligence the borrower
concludes (and Bank agrees) that the DGS&D rate contract is not suitable, then the borrower will have to proceed using NCB or shopping depending on the value.

- To meet the Bank’s requirements for right to audit and F&C, these clauses may be included in the Purchase Orders (in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders). On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank’s right to audit and F&C clauses could be mentioned.

1. **Pre-qualification:** Not Applicable


3. **Any Other Special Procurement Arrangements (Goods & Works)**

   A. Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided they are procured in accordance with agreed processes and procedures for the project and have been cleared as part of procurement plan.

   B. National Competitive Bidding (NCB) method for procurement of goods and works as per the above value thresholds will be conducted in accordance with paragraph 3.3 and 3.4 of the World Bank Procurement Guidelines and the following provisions:

   (i) Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;

   (ii) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access) along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;

   (iii) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State.

   (iv) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;

   (v) Extension of bid validity shall not be allowed with reference to contracts subject to prior review without the prior concurrence of the World Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for prior approved packages, all subsequent requests for extension irrespective of the period. (Such concurrence will be considered by the Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);

   (vi) Re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank.

   (vii) The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project.

   (viii) Rate contracts entered into by Directorate General of Supplies and Disposals will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however for any procurement under the Shopping procedures.

   (ix) Two or three envelop system will not be used (except when using e-Procurement system assessed and agreed by the Bank).
III. Selection of Consultants

1. Methods and Value thresholds for Consultancy Services

<table>
<thead>
<tr>
<th>Consulting Services (Firms)</th>
<th>Methods</th>
<th>Value Threshold*</th>
<th>Review Arrangements</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>i) Quality and Cost Based Selection (QCBS)</td>
<td></td>
<td>All Consultancy contracts valued above <strong>US$ 1 Million</strong> will be subject to Prior Review by the World Bank. All other contracts will be subject to post review.</td>
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<td>ii) Quality Based Selection (QBS)</td>
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<td>iii) Selection based on a Fixed Budget (FBS)</td>
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<td>iv) Selection Based on Least Cost Basis (LCS)</td>
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<td>v) (Selection based on Consultant’s Qualification (CQ)</td>
<td>Contracts estimated to cost equivalent of <strong>US$ 300,000</strong> or less per contract</td>
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<td>vi) Single Source Selection (SSS)# provided the conditions stipulated in paragraph 3.8 to 3.11 of Consultant Guidelines are met.</td>
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<td>All SSS contracts to be in accordance with provision of para 3.9-3.11 of the Bank guideline and will be subject to prior review as per consultancy threshold.</td>
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<tr>
<th>Individual Consultants</th>
<th>i) Competitive Selection</th>
<th></th>
<th>All contracts $300,000 or above are subject to post review provided procurement is in accordance with Section V of the Bank guideline.</th>
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<tbody>
<tr>
<td></td>
<td>ii) Single Source Selection (SSS)</td>
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<td>All contracts to be accordance with Section V of the Bank guideline.</td>
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</table>

* If a transaction comprises several packages, lots or slices, the aggregate estimated value of contracts will determine the applicable threshold amount.

# It is envisaged to hire Govt. Institutions and Research Institutes having unique and exceptional capacities, as consultants for different assignments with prior no objection of the World Bank, on case to case basis

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than **US $800,000** equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of Para 2.7 the World Bank Consultant Guidelines.

3. Any Other Special Selection Arrangements(Consulting Services)

   (a) Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided procurement is in accordance with agreed processes and procedures for the project.
(b) Requests for Expression of Interest (REOI) for assignments expected to cost more than **US$ 300,000** shall be advertised in **UNDB online** in accordance with Para 2.5 of the Consultant Guidelines.
## PROCUREMENT PLAN

**Country:** India  
**Project Name:** Andhra Pradesh Rural Inclusive Growth Project  
**Project ID:** PS2210  
**Executing Agency:** Society for Elimination of Rural Poverty

### General Information

- **Bank:** No account information provided
- **Approve Date of the Original Procurement Plan:** 2017-02-22
- **Revised Plan Date(s):** 2017-02-22

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**Summary:**
- **Total Amount Spent:** 210,000.00 rupees
- **Total Number of Invoices:** 10
- **Average Amount Per Invoice:** 21,000.00 rupees