**Procurement Plan**

**Project Preparation Grant (PPG):** **Preparation of Climate Resilient Projects in the Barotse and Kafue Sub-Basins**

**TF011015**

##### I. Background:

**Project Development Objective:** The development objective of this Project Preparation Grant is to facilitate the preparation of the following projects:

(a) Strengthening Climate Resilience in Zambia and the Barotse sub-basin (administered through the World Bank) and

(b) Strengthening Climate Resilience in the Kafue River Basin (administered through the African Development Bank)

The Grant will include the following activities:

(i) Carrying out a detailed feasibility and engineering design study of the targeted areas proposed for rehabilitation and strengthened management under the Project.

(ii) Carrying out detailed environmental and social impact assessments for the proposed Projects, including preparing specific safeguard documents for the Projects as such documents shall be identified from the environmental and social impact assessments.

(iii) Providing expert technical advice to the design of the Projects.

(iv) Developing the final project design documents, including implementation plans, operational manuals, timeframe, and detailed costing for the Projects.

(v) Developing the monitoring framework for the Projects, including collecting the necessary baseline information.

(vi) Carrying out stakeholder consultations on the findings of the studies and final design for the Projects, undertaken under Parts (i), (ii), (iv) and (v) of the Activities.

##### II. Project information:

1 **Project ID No.:** P127254/TF011015

2. **Project Implementing Agency:** Ministry of Finance (MoF) Economic and Management Department

3. **Bank’s approval** **Date of the Procurement Plan:** …November 10, 2011

4. **Date of General Procurement Notice**: November 2011

##### III. Goods and Works and Non-Consulting Services

1. **Prior Review Threshold**: Procurement Decisions subject to Prior Review by IDA as stated in Appendix 1 to the Guidelines for Procurement:

**Table 1: Thresholds for Procurement Methods and Prior Review - Goods**

|  |  |  |  |
| --- | --- | --- | --- |
| **Expenditure** **Category** | **Contract Value****(Threshold)** **USD** | Procure*ment Method* | ***Contr*acts Subject to** **Prior Review** |
| Goods | >=100,000>=30,000 < 100,000<30,000All value | ICBNCBShoppingDirect Contracting (Single Source) | All1st Contract NoneAll Contracts |

**Note:** No procurement of works is expected under the project

1. **Prequalification**.  N/A
2. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines**: N/A
3. **Reference to (if any) Project Operational/Procurement Manual**: **Reference to (if any) Project Operational/Procurement Manual**: N/A
4. **Any Other Special Procurement Arrangements** N/A

##### Procurement Packages with Methods and Time Schedule

1. **Procurement of Goods and Non-Consulting Services**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Description** | **Package** **Number** | **Ref****Number** | **Estimated** **Amount in** **US $**  | **Proc** **Method** | **Preq** **(yes / no)** | **Domestic** **Preference** | **Prior** **or** **Post** **Review** | **Expect** **Bid** **Opening** **Date** | **Expected** **Contract** **Signature** **Date** |
| 1. Office Equipment |  | G.1 | 30,000 | Shopping | N/A | N/A | Post | March 2012 |  |

##### \*On an exceptional basis, given the number (2) and expected value of the procurement contract.

##### Note: No procurement of works is expected under this project

##### IV. Selection of Consultants:

12. **Prior Review Threshold**: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

**Table 2: Thresholds for Consultants Selection Methods and Prior Review**

|  |  |  |  |
| --- | --- | --- | --- |
| **Expenditure** **Category** | **Contract Value****(Threshold)** **USD** | Selection Method | **Contracts Subject to** **Prior Review** |
| Consultants1. Firms
 |   >=100,000 <100,000 <All values | QCBSCQS, LCS, QBS, FBS, SSS  | All contractsAs in proc PlanAll contracts |
| (b) Individual |  >=50,000<50,000 | IC (competitive selection)IC (competitive selection) | All contracts None  |
| All values | IC (SSS) | All contracts  |

**NOTE:** Contracts selected on basis of CQS, LCS and FBS may not exceed cost estimate of US$100,000 equivalent per contract.

**13. Short list comprising entirely of national consultants**: Short list of consultants for services, estimated to cost less than $ 100,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

14. Terms of Reference (TOR) for all consultancy contracts as well as all single source selections, irrespective of the contract value, will be subject to prior review.

**15. Any Other Special Selection Arrangements:** N/A

 **16. Consultancy Assignments with Selection Methods and Time**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** |
| **Ref.** **No.** | **Description** | **Selection Method** | **Estimated****Cost in US$** | **Prior /** **Post** **Review** | **Expected proposal submission /opening Date** | **Comments** |
| **C.1.1.1** | Environmental and Social Assessment for Road and Canals rehabilitation in Barotse and Kafue Sub-Basins | QBS | 500,000 | Prior | Jan 2012 | Full review – TOR, EOI, Short List, RFP, Evaluation Reports, Negotiated Contract |
| **C.1.1.2.** | Techno-Economic and Feasibility Studies( Geomorphology, Hydrology and Hydrographic Assessments) with Implementation plan | QBS | 800,000 | Prior | Jan 2012 | Full review – TOR, EOI, Short List, RFP, Evaluation Reports, Negotiated Contract |
| **C.1.1.4** | Participatory Adaptation Advisor  | SSS | 80,000 | Prior | Jan 2012 | Review TORs, qualifications of candidates, draft contract |
| **C.1.1.5** | Operational Manual for Participatory Adaptation | IC | 100,000 | Prior | Oct 2012 | Review TORs, qualifications of candidates, draft contract (if each contract > 50,000)  |
| **C.1.1.6** | Baseline studies and M&E framework | CQS | 100,000 | Prior | Dec 2012 | Full review – TOR, EOI, Short List, RFP, Negotiated Contract  |
| **C.1.17** |  Final Design of Phase II (Including Implementation Plan and Budget for all 3 components) | IC | 40,000 | Post | Jan 2013 | Review TORs (qualifications and draft contract if > 50,000 |
| **C.1.1.8** | Operational Manual for Phase II | IC | 70,000 | Prior | Apr 2013 | Review TORs, qualifications of candidates, draft contract (if each contract > 50,000) |
| **C.1.1.9** | Audit for PPG | LCS | 30,000 | Prior | Aug 2013 | Review TOR and selection |

**d. Training and workshops**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Ref.** **No.** | **Training, workshop and study tours** | **Selection** **Method** | **Estimated Cost (US$)** | **Status** | **Expected Training plan etc. Preparation Date** | **Expected Training Date** |
|   |  | **Component 1. Mainstreaming Climate Change Resilience into National Development Planning** |
| **W.1.10** | Stakeholders Consultation Workshops |  | 30,000 |  | Feb-Nov 2012 | Jul 2013 |
| **W.1. 11** | Final Design Endorsement Workshop |  | 30,000 |  | Nov. 2012 |  |

**Note:**

\**After mobilization of Technical Officers PPCR*

All training will be based on an agreed training plan and/or request for no objection and will include at the least the justification of the training identified and the capacity gap, the intended trainees, the name of the training provider, the duration and cost of training. After the training, the beneficiaries will be requested to submit a brief report indicating what skill have been acquired and how these skills will contribute to enhance his performance and contribute to the attainment of the project objective.