PROCUREMENT PLAN FOR FIRST 18 MONTHS OF JOHAR

I. General

1. Project information
   - Country: India
   - Borrower: Government of Jharkhand / India
   - Project Name: Jharkhand Opportunities for Harnessing Rural Growth (JOHAR)
   - Project I.D. for JOHAR: P158798
   - Loan / Credit No.: 
   - Project Implementing Agency (PIA): Jharkhand State Livelihood Promotion Society

2. Bank’s approval Date of the procurement Plan: February 16, 2017
4. Period covered by this procurement plan: The procurement period of project covered from 1st April 2017 to 30th September 2018 (18 months).

II. Procurement Thresholds:

<table>
<thead>
<tr>
<th>Category</th>
<th>Method of Procurement</th>
<th>Threshold (US$ Equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goods, works, and non-consultant services</td>
<td>Limited International Bidding</td>
<td>Wherever agreed by the Bank</td>
</tr>
<tr>
<td></td>
<td>National Competitive Bidding (NCB)</td>
<td>Up to 4,000,000 (with NCB conditions)</td>
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<td></td>
<td>Shopping**</td>
<td>Up to 100,000</td>
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<tr>
<td></td>
<td>Direct Contracting</td>
<td>According to paragraph 3.7 of Procurement Guidelines</td>
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<tr>
<td></td>
<td>Force Account</td>
<td>According to paragraph 3.9 of Procurement Guidelines</td>
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<tr>
<td></td>
<td>Framework Agreement (FA)*</td>
<td>According to paragraph 3.6 of Procurement Guidelines</td>
</tr>
<tr>
<td></td>
<td>Procurement from United Nations Agencies</td>
<td>According to paragraph 3.10 of Procurement Guidelines</td>
</tr>
<tr>
<td>Consultants’ Services</td>
<td>Single-Source Selection (SSS)</td>
<td>According to paragraphs 3.8–3.11 of Consultant Guidelines</td>
</tr>
<tr>
<td></td>
<td>Individuals</td>
<td>According to Section V of Consultant Guidelines</td>
</tr>
<tr>
<td></td>
<td>Particular Types of Consultants</td>
<td>According to paragraphs 3.15–3.21 of Consultant Guidelines</td>
</tr>
<tr>
<td></td>
<td>Selection Based on Consultants’ Qualifications (CQS)</td>
<td>Up to 300,000</td>
</tr>
<tr>
<td></td>
<td>Quality- and Cost-Based Selection (QCBS)/ Quality-</td>
<td>For all other cases</td>
</tr>
<tr>
<td></td>
<td>Based Selection (QBS)/Selection under a Fixed Budget (FBS)</td>
<td></td>
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<tr>
<td></td>
<td>Least-Cost Selection (LCS)</td>
<td></td>
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<tr>
<td></td>
<td>(a) International short list</td>
<td>&gt; 800,000</td>
</tr>
<tr>
<td></td>
<td>(b) Short list may comprise national consultants only</td>
<td>Up to 800,000</td>
</tr>
</tbody>
</table>

Note: * DGS&D rate contracts may be used as the FA, subject to the following conditions:
  - Use of DGS&D rate contracts as the FA must be reflected on the Procurement Plan agreed by the Bank for particular goods.
Before issuing the purchasing order, the implementing agency will carry out a price analysis on the specific goods that are intended to be purchased. If after this due diligence the implementing agency concludes (and the Bank agrees) that the DGS&D rate contracts are more advantageous, DGS&D rate contracts may be used as the FA.

To meet the Bank’s requirements for right to audit and F&C, these clauses may be included in the Purchase Orders (in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders). On the other hand, if an indent is placed through the DGS&D, the purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where the Bank’s right to audit and F&C clauses could be mentioned.

Note: ** use of Government e-Market place (GeM) in lieu of shopping up to a contract ceiling of US$ 30,000, provided the Borrower records reasonableness of price while taking the decision. However, GeM should not be used as a replacement of NCB irrespective of value.

### III. Thresholds for Community Procurement of Goods and Works

IV. All goods, works and services will be procured at the community level using the procurement methods described in the NRLM procurement manual. The highest levels of value thresholds for various methods applicable to Community Based Organisations are in the table below:

<table>
<thead>
<tr>
<th>Method</th>
<th>Goods (Rs)</th>
<th>Works (Rs)</th>
</tr>
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<tbody>
<tr>
<td>Petty Purchase</td>
<td>10,000</td>
<td>NA</td>
</tr>
<tr>
<td>Local Shopping</td>
<td>50,000</td>
<td>NA</td>
</tr>
<tr>
<td>Limited Tendering</td>
<td>7,50,000</td>
<td>10,00,000</td>
</tr>
<tr>
<td>Open Tendering</td>
<td>25,00,000</td>
<td>1,00,00,000</td>
</tr>
<tr>
<td>Force Account</td>
<td>NA</td>
<td>25,00,000</td>
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</tbody>
</table>

### Services

Individual Consultants up to a value of INR 1,00,000 per contract following competitive procedures. Institutional Consultants up to a value of INR 10,00,000 per contract following competitive methods of CQS, QBS, FBS and LCS

*Proposed Procedures for CDD Components (as per paragraph 3.17 of the Guidelines)* Paragraph 3.17 of the Bank Guidelines have been incorporated in NRLM Procurement Manual and will also be applicable to JOHAR. The NRLM Procurement Manual details the methods and delegated value thresholds applicable at the community level (Chapter 4) and the Procurement planning, Management and Supervision Arrangements at Community Level (Chapter 5) – these will also be applicable to JOHAR.

*Reference to (if any) Project Operational/Procurement Manual:* The NRLM Procurement Manual has been developed by Ministry of Rural Development, Government of India, this has been agreed with the Bank in May 2012 and has been revised and agreed with the Bank in April 2016, and will also be used guide procurement of goods, works and services in JOHAR.

*Selection of Consultants.* The SPIU shall use the standard request for proposal for selection of consultants. The following methods will be adopted depending upon the size and complexity of assignments and as agreed in the Procurement Plan: Quality- and Cost-Based Selection (QCBS), Quality-Based Selection (QBS), Selection under a Fixed Budget (FBS), Least-Cost Selection (LCS), Selection based on Consultant’s Qualification (CQS), Single-Source Selection (SSS), Individual Consultants. A short list of consultants for services estimated to cost less than US$800,000 equivalent per contract may be composed entirely of national consultants in accordance with the provision of paragraph 2.7 of the Consultant Guidelines.

*Procurement of Goods, Works, and Non-consulting Services*

International Competitive Bidding (ICB). There are no ICB contracts for the project.

National Competitive Bidding (NCB). Procurement of goods, works, and non-consulting services shall be conducted
in accordance with paragraphs 3.3 and 3.4 of the Procurement Guidelines. For the procurement of goods, works, and non-consulting services, the SPIU will use the World Bank’s standard bidding documents and the following additional provisions shall apply:

• Only the model bidding documents for NCB agreed with the GoI’s Task Force (and as amended from time to time), shall be used for bidding.

• Invitations for bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily, among other things, giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days before the deadline for the submission of bids.

• No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given state.

• Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder.

• Extension of bid validity shall not be allowed with reference to contracts subject to the Bank’s prior review without the prior concurrence of the Bank for (a) the first request for extension if it is longer than four weeks and (b) all subsequent requests for extension irrespective of the period (such concurrence will be considered by the Bank only in cases of force majeure and circumstances beyond the control of the purchaser/employer).

• Re-bidding shall not be carried out with reference to contracts subject to the Bank’s prior review without the prior concurrence of the Bank.

• Rate contracts entered into by the Directorate General of Supplies and Disposals (DGS&D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on a case-to-case basis. Such contracts will be acceptable, however, for any procurement under the shopping procedures.

• Two- or three-envelope system will not be used (except when using an e-procurement system assessed and agreed by the Bank).

Shopping. The shopping method in accordance with paragraph 3.5 of the Procurement Guidelines may be adopted for procuring readily available off-the-shelf goods of value less than US$100,000. For the shopping procedure, list of vendors/contractors already registered with government departments may be used for inviting quotations. The Procurement Plan should determine the cost estimate of each contract and the aggregate total amount. The borrower should solicit at least three price quotations for the purchase of goods, materials, or services (non-consulting), to formulate a cost comparison report.

Direct contracting. Goods, works, and non-consulting services, which meet the requirement of paragraph 3.6 of the Procurement Guidelines, may be procured following the direct contracting method. Direct Contracts with an estimated value exceeding US$ 100,000 will be prior reviewed by the Bank.

Prior review by the Bank. The Bank will prior review the following contracts:

• Goods, services (other than consultancies), and IT systems: All contracts more than US$ 4.0 million equivalent

• Works: All contracts more than US$15.0 million equivalent

• Consultancy services: More than US$2.0 million equivalent for firms and more than US$400,000 equivalent for individuals

In addition, the justifications for all contracts to be issued on the basis of Limited International Bidding or Single-Source or Direct Contracting (except for contracts less than US$100,000 in value) will be subject to prior review. The above thresholds are for the initial 18-month implementation period; based on the procurement performance of the project, these thresholds may be subsequently modified. The prior review thresholds will also be indicated in the Procurement Plan. The Procurement Plan will be subsequently updated annually (or at any other time if required) and will reflect any change in prior review thresholds as well as changes in thresholds for procurement methods. The Bank will carry out an annual ex post procurement review of the procurement falling below the prior review thresholds provided above.
**STEP.** An online Systematic Tracking of Exchanges in Procurement (STEP) shall be adopted to prepare the Procurement Plan once the initial Procurement Plan has been agreed. It is a web-based tool owned by the World Bank which helps in tracking dates of the different stages of procurement activities and contract management that are planned or under implementation. The system establishes a new, easy-to-use, and more efficient way for World Bank teams and clients to interact, while at the same time providing an audit trail of the process. The World Bank will make arrangements to train the staff of the SPIU in operating STEP.
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<tbody>
<tr>
<td>JOHAR CONSULTING SERVICES</td>
<td>Project and Knowledge Management</td>
<td>Request for Quote</td>
<td>Open</td>
<td>National</td>
<td>Single Stage - Open Competition</td>
<td>1,007,076.63</td>
<td>5,000</td>
<td>Prequalification</td>
<td>2017-01-05</td>
<td>2017-10-06</td>
<td>2017-09-01</td>
<td>2017-11-06</td>
<td>2017-12-16</td>
<td>2018-01-25</td>
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<td>National</td>
<td>Single Stage - Open Competition</td>
<td>400,000.00</td>
<td>1,000,000.00</td>
<td>Prequalification</td>
<td>2017-01-28</td>
<td>2017-09-16</td>
<td>2017-09-29</td>
<td>2018-03-12</td>
<td>2018-07-01</td>
<td>2018-03-12</td>
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</tbody>
</table>
JOHAR/JICA
Hiring of Technical Services of 3 Consultants for Sustainable Socio Economic Transformation in Jharkhand 2016-18 yrs. to conduct training of selected workers for a period of 12 weeks under JICA’s rural livelihoods areas.

**Activities**

- JOHAR/IBRD 87570
  - Project Code: IN-JSLPS-41217-CS-CDS
  - Source: JOHAR
  - Selection Method: Direct Selection
  - Award Amount: Rs. 88,710.00
  - Portugal

- JOHAR/IBRD 87570
  - Project Code: IN-JSLPS-38924-CS-INDV
  - Source: JOHAR
  - Selection Method: Direct Selection
  - Award Amount: Rs. 29,032.00
  - India

- JOHAR/IBRD 87570
  - Project Code: IN-JSLPS-31498-CS-INDV
  - Source: JOHAR
  - Selection Method: Direct Selection
  - Award Amount: Rs. 24,200.00
  - India

- JOHAR/IBRD 87570
  - Project Code: IN-JSLPS-29552-CS-INDV
  - Source: JOHAR
  - Selection Method: Direct Selection
  - Award Amount: Rs. 15,485.87
  - India

- JOHAR/IBRD 87570
  - Project Code: IN-JSLPS-21449-CS-CDS
  - Source: JOHAR
  - Selection Method: Direct Selection
  - Award Amount: Rs. 435,311.01
  - India

- JOHAR/IBRD 87570
  - Project Code: IN-JSLPS-21450-CS-CDS
  - Source: JOHAR
  - Selection Method: Direct Selection
  - Award Amount: Rs. 232,468.12
  - India

**Details**

- **Project Code:** IN-JSLPS-41217-CS-CDS
- **Source:** JOHAR
- **Selection Method:** Direct Selection
- **Award Amount:** Rs. 88,710.00
- **Location:** Portugal

- **Project Code:** IN-JSLPS-38924-CS-INDV
- **Source:** JOHAR
- **Selection Method:** Direct Selection
- **Award Amount:** Rs. 29,032.00
- **Location:** India

- **Project Code:** IN-JSLPS-31498-CS-INDV
- **Source:** JOHAR
- **Selection Method:** Direct Selection
- **Award Amount:** Rs. 24,200.00
- **Location:** India

- **Project Code:** IN-JSLPS-29552-CS-INDV
- **Source:** JOHAR
- **Selection Method:** Direct Selection
- **Award Amount:** Rs. 15,485.87
- **Location:** India

- **Project Code:** IN-JSLPS-21449-CS-CDS
- **Source:** JOHAR
- **Selection Method:** Direct Selection
- **Award Amount:** Rs. 435,311.01
- **Location:** India

- **Project Code:** IN-JSLPS-21450-CS-CDS
- **Source:** JOHAR
- **Selection Method:** Direct Selection
- **Award Amount:** Rs. 232,468.12
- **Location:** India

**Notes:**

- The projects are under the JOHAR/JICA framework, focusing on sustainable socio-economic transformation in Jharkhand.
- The services include training for workers under JICA’s rural livelihoods areas.
- The award amounts and locations are specified for each project.